

Schedule 2

ISSUER REGISTRATION STATEMENT
Sections 97(2), 97(3) and 97(4) of the Securities Act, 2001

FORM RS - 2

Select One: Annual Registration Issue of Securities

National Bank of Dominica Ltd

(Exact name of Company as set forth in Certificate of Incorporation)

Place and date of incorporation:

25 November 2003, Roseau

Street and postal address of registered office:

64 Hillsborough Street, Roseau, Dominica

P.O.Box 271, Roseau, Dominica

Company telephone number: (767) 255-2300

Fax number: (767) 448-3982

Email address: customersupport@nbd.dm

Financial year-end: June 30 2021
(month) (day) (year)

Contact person(s): Annette Severin-Lestrade

Macina Bethel

Telephone number (if different from above): (767) 255 -2320

Fax number: (767) 448-3982

Email address: managingdirector@nbd.dm; companysecretary@nbd.dm

Did the company file all reports required to be filed by Section 98 of the Securities Act, 2001 during the preceding 12 months?

Yes

No

Did the company file all reports required to be filed by the Companies Act during the preceding 12 months?

Yes

No

1. Description of the Industry in which the Company Operates

Banking

2. Exchanges on which the Company's Securities are Listed

Exchange(s)	Securities Type	No. of Shares	Valuation
N/A			

3. Description of Securities Being Offered (including who is the Issuer and who is the Offeror of the Securities)

N/A

4. Territories in which Securities are Being Offered

Territory	Effective Date
N/A	

5. Description of Share Capital

a) Authorised

TYPE/CLASS	No. OF SHARES
Ordinary	40,000,000

b) Issued

TYPE/CLASS	No. OF SHARES
Ordinary	24,000,000

c) Outstanding

TYPE/CLASS	No. OF SHARES
Ordinary	16,000,000

7. DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Urania Williams Position: Administrator - USF

Age: 53

Mailing Address: P. O Box 649
Roseau
Commonwealth of Dominica

Telephone No.: 767-275-1503

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Universal Service Fund Administrator - April 2010 - Present
National Telecommunications Regulatory Commission

The Fund Administrator's duties include -

- (a) assisting the Commission in identifying potential projects for Fund support;
- (b) defining, preparing and distributing Bidding Documents and other documentation for projects approved for Fund financing and implementation;
- (c) supervising and monitoring Fund Projects;
- (d) participating in the selection of consultants to support Fund Project implementation;
- (e) sensitizing the public of Universal Service Fund matters;
- (f) supervising the preparation and monitoring of the Fund's Operating budget;
- (g) preparing progress reports on Fund Projects and overall Fund operations, and prepare or cause to be prepared the financial statements of the Fund for the approval of the Commission;

Education (degrees or other academic qualifications, schools attended, and dates):

Director Accreditation Chartered Governance Institute of Canada Canada	2020
PURCHASER/BANK INTERNATIONAL TRAINING PROGRAM ON UTILITY REGULATION AND STRATEGY University of Florida Gainesville, Florida USA	2020
Digital Marketing UDACITY USA	2017
Master Certificate in Project Management Yaleova University USA	2009
Emerging Leadership Training Cardiff University United Kingdom	2008
Advanced Certificate in Marketing Chartered Institute of Marketing United Kingdom	1999
Certified General Accounting (Level 1) Canada	1990

Use additional sheets if necessary

7. DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Jodie J. Luke Position: Director

Age: 32

Mailing Address: C/o PlatinumLaw Chambers
36 Great George Street
Roseau, Commonwealth of Dominica

Telephone No.: 17672857101

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Attorney-at-Law - PlatinumLaw Chambers Associate - 2018 to 2020 Partner- 2020 to present Responsibilities: All forms of legal services Research/drafting/litigation etc
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Education (degrees or other academic qualifications, schools attended, and dates):

Legal Education Certificate - Hugh Wooding Law School (2016 to 2018) Bachelor of Laws - UWI Cavehill (2013 to 2016) Administrative Office Assistant Asc - Dominica State college (2006 to 2008)

Use additional sheets if necessary

BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Gibbs Stephenson Position: Director

Age: 48

Mailing Address: Mahaut

Commonwealth of Dominica

Westindies

Telephone No.: 1-767-317-7776

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

April 01, 2009
PDV Caribe Dominica Ltd
General Manager
Manages PDV Caribe Dominica Ltd

Education (degrees or other academic qualifications, schools attended, and dates):

ACCA Affiliate
Acc. Dir.
ACC
RCC

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Time is applied as required.

Use additional sheets if necessary.

7. DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: OLIVER P HENDERSON Position: DIRECTOR

Age: 51

Mailing Address: GIRAUDEL
ROSEAU
COMMONWEALTH OF DOMINICA

Telephone No.: 767 616 2586

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Examiner - Dominica Cooperative Societies League Ltd - 2004 - 2018

Financial Controller - Dominica Air & Sea Ports Authority 2018 to date, responsible for ensuring the finances of the institution are managed in a prudent manner.

Education (degrees or other academic qualifications, schools attended, and dates):

ACCA - Accountancy Tutors -1997 - 2001 (The school no longer exists)

Use additional sheets if necessary

BIOGRAPHICAL DATA FORMS

DIRECTORS OF THE COMPANY

Name:

Shane Harsani Carriere

Position: Director

Mailing Address: Bourne

Portsmouth

Commonwealth of Dominica

Telephone No.: 767 245 8229

List jobs held during past five years (include names of employers and dates of employment).

Managing Partner	- Carriere Systems	- November 2019 to Present
Proprietor (Owner)	- Carriere Systems	- 2013 to November 2019
Audio Visual Design Engineer	- Ross UNiversity School of Medicine	- 2004 to 2017

Give brief description of **current** responsibilities

At Carriere Systems, my current responsibilities includes the following:

1. Managing the day to day operations of the company.
2. Preparation of proposals for prospective projects.
3. Design of IT Network and Electrical Systems.
4. Chief technical personnel.

Education (degrees or other academic qualifications, schools attended, and dates):

DeVry: (B.Sc) Network and Communications Management (Started then paused. Plans to resume)

InfoComm: (CTS) Certified Technology Specialist - 2011

Extron: (ECS) Extron Control Specialist - 2017

Extron: (ECP) Extron Control Professional - 2017

Dominica State College: Major- Physics and Mathematics - 1999 to 2001

Portsmouth Secondary School - 1994 to 1999

APPENDIX 1 – BIOGRAPHICAL DATA FORMS

DIRECTORS OF THE COMPANY

Name: Claudette Dangleben Position: Director

Mailing Address: 123 Canefield East

123 Canefield East

Canefield Dominica

Telephone No.: 767-613-9303

List jobs held during past five years (include names of employers and dates of employment).
Give brief description of **current** responsibilities

Logistics & Inventory Manager July 2010 - Present

- Develop analysis of best practices to ensure efficiencies
- Manage logistics processes to ensure compliance and safety practices.
- Oversee ISO safety requirements, including leading and supporting business quality needs.
- Ensure & oversee product quality assurance prior to satisfying regional shipping.
- Support in managing company assets used in supply chain & logistic needs
- Supporting senior & regional management with supply chain strategic planning
- Successfully negotiating shipping rates with service providers.
- Support with budget planning and business forecasting
- Coordinate development, maintenance, and implementation of policies and procedures for compliance
- Perform risk assessments to identify potential liabilities, including implementing or suggesting corrective measures
- Analyzing export & import requirements that will affect business, including ensuring effective communication to team & senior management on impact to the business.
- Leading a team on all business needs and achieving goals.

Education (degrees or other academic qualifications, schools attended, and dates):

Human Resource and Compensation Committee Certified November 2021
Caribbean Governance Training Institute (CGTI)

Accredited Director
ICSA - Chartered Governance Institute of Canada September 2020

Certificate of Participation, University of the West Indies
Concentration: Developing Leadership Presence Graduation: July 2020

Lead Auditor, International Standard Organization
Concentration: Lead Auditor (ISO 9001:2015) Completion year: 2015

Asycuda Training, Customs and Excise Division, Commonwealth of Dominica Completion year: 2015

QMS Lead Auditor Training Course, American Quality and Environmental Group Ltd
Concentration: QMS Lead Auditor (ISO 9001:2000) Completion date: July 2008

Cambridge International Diploma- Advanced Level, Business Training Centre
Concentrations: Business Organization and Environment, Effective Business Communication, Marketing, Human Resource Management.
Graduation: May 2005

Use additional sheets if necessary.

7. DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: HEZRON SERAPHIN Position: INDEPENDENT DIRECTOR

Age: 50

Mailing Address: C/o Pan-American Life Insurance Company
16 Kennedy Avenue, P O Box 503, Roseau
Dominica

Telephone No.: 1 767 277 6924

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Pan-American Life Insurance Company Ltd. - 2013 to Present.
Insurance Agent. Responsible for advising clients on various insurance matters.

Education (degrees or other academic qualifications, schools attended, and dates):

LIFE UNDERWRITERS TRAINING COUNCIL FELLOW
2006 - THE AMERICAN COLLEGE

FINANCIAL SERVICES SPECIALIST
2012 - THE AMERICAN COLLEGE

FINANCIAL SERVICES CERTIFIED PROFESSIONAL
2017 - THE AMERICAN COLLEGE

Use additional sheets if necessary

7. DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Irving Thomas Williams Position: Director

Age: 63

Mailing Address: P O Box 96

Roseau

Dominica

Telephone No.: 767 235 4579

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Comptroller Inland Revenue - 2007 to 2019
Government of Dominica

- Responsibilities:
- Administration of the Income Tax Act, Value Added Tax Act among other legislations
- Coordinate the activities of the Inland Revenue Division
- Advise Ministry of Finance on Tax matters

Education (degrees or other academic qualifications, schools attended, and dates):

Master in Business Administration (MBA) University of Leicester, UK 2011
ACCA Certified Accounting Technician (CAT) - 2002
Caribbean Tax Administration Course for Technicians - 1991
Certificate in Income Tax Law and Practice - Trinidad & Tobago Board of Inland Revenue - 1984

Use additional sheets if necessary

BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Annette Severin-Lestrade Position: Managing Director

Age: 59

Mailing Address: P O Box1622
Roseau
Dominica

Telephone No.: 767 616 3900

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

Scotiabank - July 1988 - May 2018
Most recent position -- Branch Manager St Kitts, July 2012 - May 2018 (early retirement)
767Compliance & Business Services, Owner/Principal -- June 2019 -August 1 2021
Develop and deliver customized training programs to SMEs in customer service, regulatory compliance, coaching and leadership.

Education (degrees or other academic qualifications, schools attended, and dates):

Associates in Science - Dutchess Community College, NY, -- 1985 Associate of the Institute of Canadian Bankers --2005
BBA Marketing, Columbus University -- 2006 Certified Anti-Money Laundering Specialist -- 2018
MBA Marketing, University of Liverpool -- 2009 Certified Financial Crime Specialist -- 2019
Leading a Culture of Service Excellence, eCornell -- 2019
Strategic Oversight Certification (S.O.C.), The Caribbean Governance Training Institute -- 2021
Human Resource Committee Certified (H.R.C.C.C), The Caribbean Governance Training Institute -- 2021
Chartered Director (C.Dir.), The Caribbean Governance Training Institute -- 2021
Financial Literacy Certified (F.Lit.C) , The Caribbean Governance Training Institute -- 2021
Chairman's Course, The Caribbean Governance Training Institute -- 2022

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Not Applicable.

Use additional sheets if necessary.

APPENDIX 1(a) – BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Linda Toussaint Peter Position: Deputy Managing Director

Mailing Address: Fond Baron
Loubiere
Dominica

Telephone No.: 1 767 275 1781

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

Deputy Managing Director, National Bank of Dominica Ltd - August 2021 to present
Chief Financial Officer, National Bank of Dominica Ltd - March 2016 - August 2021

Current key responsibilities

- Provide strong support to the Managing Director in the overall management of the bank
- Oversight of the investments function - growth of portfolio, generation of interest income and gains

Education (degrees or other academic qualifications, schools attended, and dates):

ACCA, Bsc accounting. Curriculum Vitae attached.

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Use additional sheets if necessary.

APPENDIX 1(a) – BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Marilyn Lawrence-Edwards Position: Head of Internal Audit

Mailing Address: Apt 1 B Block 2 Riverside Apts,
Roseau
Dominica

Telephone No.: 1 767 235 7585

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

HEAD OF INTERNAL AUDIT - NATIONAL BANK OF DOMINICA -
Developing and managing the implementation of the Risk Based Annual Internal Audit Plan for the Bank
Reporting to the Board and Management on the state of Internal Controls and Risk Management
Reporting to the Board on the implementation of the audit plan
Liaising with the External Auditors and other assurance providers
Developing, Maintaining and reporting on the Internal Audit Quality Assurance and Improvement Program.
Monitoring the implementation of corrective actions coming from audit findings.
Managing the overall workflow of the Internal Audit department.
Ensuring that the individual and collective competencies of Internal Audit Department are built

Education (degrees or other academic qualifications, schools attended, and dates):

Certified Internal Auditor The institute of Internal Auditing, September 2011
Master s in Business Administration University of Leicester, January 2007
Bachelor of Arts - Accounting (Summa Cum Laude), University of the Virgin Islands, St Thomas USVI, May 1995

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

N/a

Use additional sheets if necessary.

BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Macina Bethel Position: Corporate Secretary

Age: 39

Mailing Address: P.O.Box 433

Roseau, Dominica

Telephone No.: 767-275-2817

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

October 2018- Present Corporate Secretary , National Bank of Dominica Ltd.
1. taking accurate and timely minutes of Meetings, recording all decisions and policies made by the Board and various sub-committees of the Board and communicating same to authorized management, staff, and other entities as relevant and appropriate.
2. Prepares Board and Committee Notices, Agendas and Reports on proceedings for approval by the Chairman of the Board and/or Committees.
3. Organize and oversees orientation of new directors to the Board; serves as a primary contact for directors;
4. drafts and prepares correspondence, memoranda etc for the Chairman of the Board;
5. Provides information to directors, including orientation of new directors to the Board;
6. Advise on the Board on Corporate Governance issues.
7. Responds in a timely and accurate manner to shareholder queries, including queries on the method of share transfers;
8. Devises and monitors policies in relation to share transfers and shareholders interactions.
9. Organizes and oversees shareholders forum events and meetings
10. Determines the budget of the Board of Directors and monitors the administration of the current budget, regarding directors expenses
11. Oversees regulatory and corporate governance aspects in relation to Annual General Meeting and other shareholders forum;
12. Prepares Agenda, Notices and ballots and other official documents of the Annual General Meeting;

Education (degrees or other academic qualifications, schools attended, and dates):

2020: Human Resource & Compensation Committee Certification (HRCCC), Caribbean Governance Training Institute (2020);
2015: Master Degree in International Business (Merit) University of London
2015: Associate Degree of Science in Paralegal Studies (Distinction) University of the West Indies Open Campus
2011: Accredited Director (Acc. Dir.) Institute of Chartered Secretaries and Administrators (ICSA), Canada
2010: Bachelor Degree of Science in Management Studies (First Class Honour and Nominee for Valedictorian of the Open Campus)
University of the West Indies Open Campus
2007: Associate Degree of Arts – Faculty of Education Dominica State College
2001: Cambridge Advanced Level (A-Level) Clifton Dupigny Community College
1999: CXC O’Level Wesley High School

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Use additional sheets if necessary.

BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Nellisa Cindy Dorival Position: Executive Manager, Banking Service

Age: 39

Mailing Address: P.O. Box 2072, Roseau, Dominica

P.O. Box 2072, Roseau, Dominica

Telephone No.: 767-275-0964

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

2015 - Current: Executive Manager - Banking Services (National Bank of Dominica)

Summary of Responsibilities:

Management of the Customer Service, Branch Network, ATM Network and Back Office Operations functions of the Bank, through the development, implementation and monitoring/review of strategic initiatives to achieve profitability/growth objectives.

2014 - 2015: Manager - Credit Underwriting and Monitoring (NBD)
2013 - 2014: Manager - Portsmouth Area (NBD)
2010 - 2013: Credit Risk Officer (NBD)

Education (degrees or other academic qualifications, schools attended, and dates):

2010-2014 MSc. International Business	University of London
2003-2008 BSc Accounting & Finance	University of London
2001-2003 Diploma in Banking & Financial Services	University of West Indies
1998-2000 Cambridge A- Levels (Major Business)	Clifton Dupigny Community College
1993-1998 CXC O-Level (Major-Business)	Grandbay Secondary School

Other Professional Certification in:
Accredited Director
Bank Card Operations; Customer Services Sales & Marketing; Anti-Money Laundering Leadership; Lending; International Trade Finance; Credit Experience Management, Training ; Business Writing, Coaching; Executive Management; Qualified Financial;

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Use additional sheets if necessary.

BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Lilian Polydore-Williams Position: Executive Manager, HR & Corporat

Age: 52

Mailing Address: 15 Street, Canefield, Commonwealth of Dominica

Telephone No.: 767 275-1095 or 767 255 2353

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

1. Executive Manager - Human Resources and Corporate Services
Responsible for HR functions including recruitment, training and people development, performance management, disciplinary actions, benefits administration; and responsible for facilities and equipment maintenance, bank-wide procurement, utilities administration, telecommunication services, safekeeping of contracts and administration of electronic database of policies, policy and procedures formulation
2. Executive Manager - Human Resources and Organisational Development
Responsible for HR functions including recruitment, training and people development, performance management, disciplinary actions, benefits administration.

Education (degrees or other academic qualifications, schools attended, and dates):

FIBA - AML Responsibilities for Managers, CEOs and Directors - May 2022
Human Resource & Compensation Committee Certification (HRCCC), Caribbean Governance Training Institute (2020);
MBA - University of Leicester, UK : Completed 2012
Certificate in Fraud Investigation Prevention, Detection and Investigation - Certified Information Security, US
Certificate Strategic HRM - UWI - Institute of Business (IOB)
Certificate HRIS Management - UWI - IOB
Certificate - Project Management and Implementation UWI - IOB
BSc Management (First Class Honours) - UWI Cave Hill, Barbados - Completed 1999
Certificate Air Traffic Control - Distinction - Barbados School of Air Traffic Services

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Use additional sheets if necessary.

BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: SUZANNE JOSPEH-PIPER Position: Executive Manager, Marketing & Inf

Age: 56

Mailing Address: MORNE DANIEL, DOMINICA

Telephone No.: 255 2655 (W), 275 3375 (M)

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

Executive Manager, Marketing and Information Systems - National Bank of Dominica Ltd. - 2019 to present
Responsible for all marketing functions including Product Management, Research and Development, Product Marketing, Communications and PR, Brand Management.
Responsible for management of the Information Systems Unit

Executive Manager, Marketing and Product Management – National Bank of Dominica Ltd. - 2009 to 2019.
Responsible for all marketing functions including Product Management, Research and Development, Product Marketing, Communications and PR, Brand Management.

Education (degrees or other academic qualifications, schools attended, and dates):

UNIVERSITY OF MINNESOTA, Carlson School of Management, Twin Cities, Minnesota
Master of Business Administration, Strategy and Marketing, May 2005

FLORIDA ATLANTIC UNIVERSITY (FAU), Boca Raton, Florida
Bachelor of Business Administration, Accounting, December 1996

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Use additional sheets if necessary.

BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: MINERVE BLANCHARD Position: EXECUTIVE MANAGER-CREDIT

Age: 39

Mailing Address: CASTLE COMFORT, COMMONWEALTH OF DOMINICA

Telephone No.: 767 275 1790

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

Employer: National Bank of Dominica Ltd
Position: Executive Manager, Credit & Business Development
Description of Responsibilities: Leading NBD's credit function towards accomplishing profitability and growth targets in accordance with the Bank's strategy.

Education (degrees or other academic qualifications, schools attended, and dates):

MSC International Business, University of London, 2020
BSC Management, University of the West Indies, 2010

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Use additional sheets if necessary.

BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: CAROL AGNES LAWRENCE Position: EXEC MNGR, RISK& COMPLIAN

Age: 51

Mailing Address: 19 Munro Street

Goodwill

Dominica

Telephone No.: 767 316 7063 / 275 2606

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

June 2015 to present- Executive Manager, Risk and Compliance

Responsibilities include:

Coordination of the Bank's Enterprise Risk Management Program

Safeguard the Bank, and assist in achieving desired strategies through:

Identifying and assessing risks in credit portfolio, including watch-listed and non-performing accounts, recommending provisioning amounts and changes to related processes. Monitors credit exposures against regulations and risk appetite.

Identifying and assessing operational risks

Managing the Business Continuity Function, ensuring mitigation measures are in place for possible periods of disruption

Monitoring Compliance with regulations, standards and policies, alerting management of updates to legislation.

Identifying, assessing and monitoring Anti-Money Laundering risks making recommendations for mitigating measures

Identifying and assessing risks related to bank's capital adequacy requirements

Participating in the Bank's Asset Liability Management Process as a member of the ALCO committee

Reviewing investment related information

Review of / drafting risk related policies

2010 to June 2015 - Regulatory Compliance Officer, National Bank of Dominica

Education (degrees or other academic qualifications, schools attended, and dates):

Chartered Banker MBA (Distinction)

Bachelor of Business Administration, First Class Honors, Concentration in Finance, University of Technology, Jamaica. 1998

Certified Anti-Money Laundering Specialist CAMS, June 2014

Certified Internal Controls Risk Analyst (Feb 2017) Certified Information Security

Certified ISO 22301 Business Continuity Strategist (Feb 2017) Certified Information Security

Anti-Money Laundering Certified Associate (AMLCA)-Florida International Bankers Association – Nov 2011

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Use additional sheets if necessary.

BIOGRAPHICAL DATA FORMS

EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: FERNILLIA FELIX FREDERICK Position: GENERAL COUNSEL

Age: 39

Mailing Address: FERNILLIA FELIX FREDERICK, UNION ESTATE, POINTE MICHEL , DOMINICA

Telephone No.: 767-275-0084/ 767-614-6033

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of **current** responsibilities.

POSITION:	STATE ATTORNEY
EMPLOYER:	MINISTRY OF JUSTICE, IMMIGRATION AND NATIONAL SECURITY GOVERNMENT OF THE COMMONWEALTH OF DOMINICA
DATE OF EMPLOYMENT:	AUGUST 2012 - DECEMBER 31ST 2018

Education (degrees or other academic qualifications, schools attended, and dates):

BIRBECK UNIVERSITY-	SEPTEMBER 2016- MAY 2017	LLM Masters of Laws Merit in HUMAN RIGHTS
HUGH WOODING LAW SCHOOL	SEPTEMBER 2010- MAY 2012	LEGAL EDUCATION CERTIFICATE
UNIVERSITY OF THE WEST INDIES CAVEHILL CAMPUS	SEPTEMBER 2007- MAY - 2010	BACHELOR OF LAWS (LLB) UPPER SECOND CLASS HONOURS
CLIFTON DUPIGNY COMMUNITY COLLEGE	SEPTEMBER 1999-JUNE 2001	A & A/O LEVELS: ENGLISH, HISTORY SOCIOLOGY
CONVENT HIGH SCHOOL	SEPTEMBER 1994- JUNE 1999	CXC

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Use additional sheets if necessary.

9. Name and Address of Parent

Name:	Address:	Country of Incorporation:	Countries of Registration (where applicable)	Name of the Exchange(s) on which the company's securities are listed:
N/A				

10. Name(s) and Address(es) of Subsidiary(ies)

Name:	Address:	Percentage Ownership:	Name of the Exchange(s) on which the company's securities are listed:
National Investment Corporation Ltd	64 Hillsborough Street	100%	n/a

11. Name(s) and Address(es) of Affiliate(s)

Name:	Address:	Name of the Exchange(s) on which the company's securities are listed:
n/a		

SIGNATURES

A Director, the Chief Executive and Corporate Secretary shall sign this Registration Statement on behalf of the company. By so doing each certifies that he has made diligent efforts to verify the material accuracy and completeness of the information herein contained.

Name of Chief Executive Officer:

Name of Director:

Annette Severin-Lestrade

SIGNED AND CERTIFIED

[Handwritten Signature]
SIGNED AND CERTIFIED

Signature

Signature

August 31st 2022

August 31, 2022

Date

Date

Name of Corporate Secretary:

Macina Bethel

SIGNED AND CERTIFIED

Signature

Aug 31 2022

Date

